



# Risk based design of ground support

Sub project of “Ground Support Systems Optimization” research project lead by the Australian Centre for Geomechanics (ACG).

## Participants

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- Michael Dunn (SRK Australia)
- Dick Stacey (University of the Witwatersrand)
- Shaun Murphy (SRK SA)
- Jeanne Walls (SRK SA)

## Data

- IvanPlats Pty Ltd

## Major sponsors

Glencore Mount Isa Mines, Independence Group NL, Codelco Chile, MMG Limited, Minerals Research Institute of Western Australian, and the Australian Centre for Geomechanics.

## Minor Sponsors

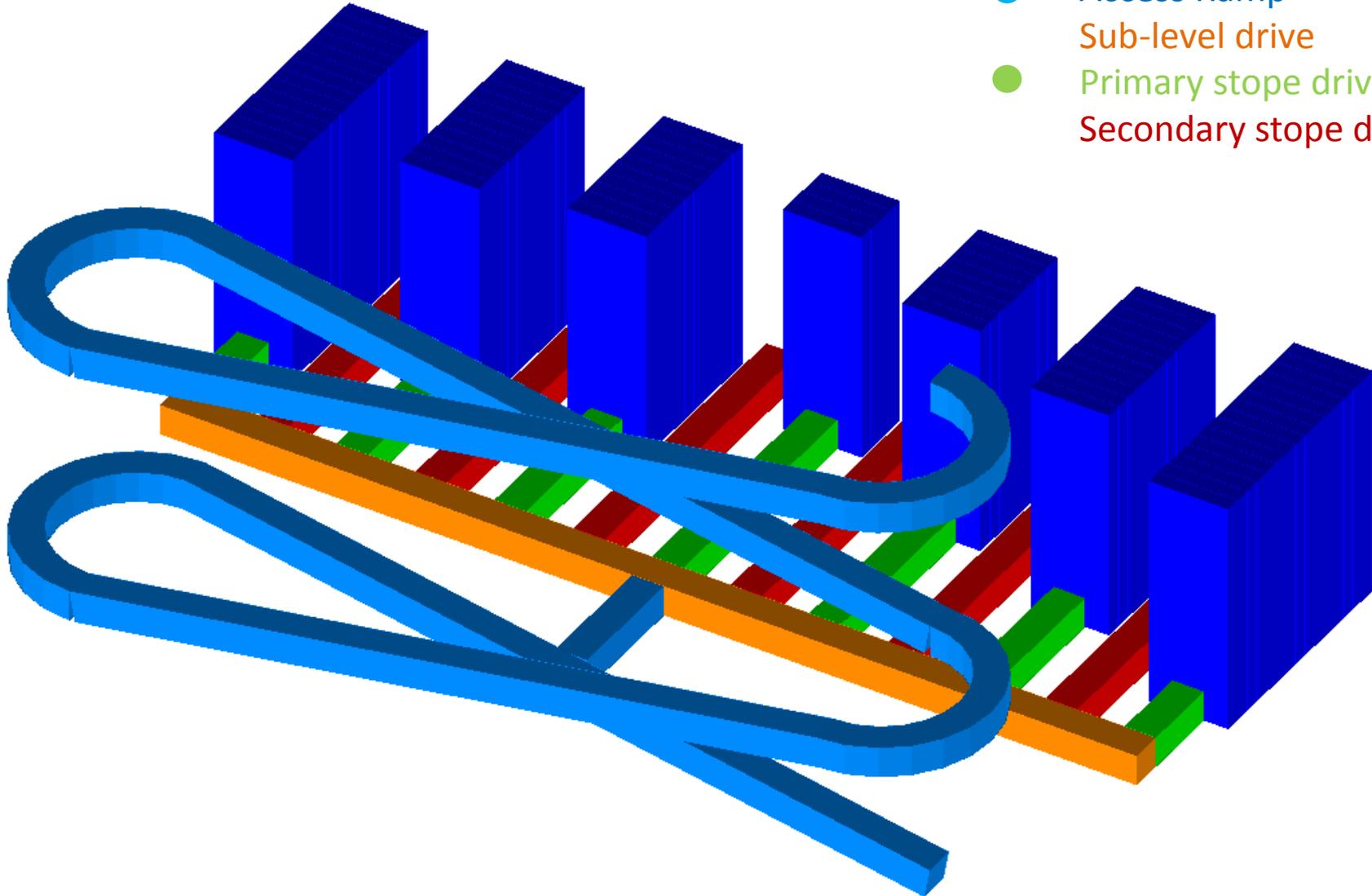
Jennmar Australia, Dywidag-Systems International Pty Ltd, Fero Strata Australia, Golder Associates Pth Ltd, Geobrugg Australia Pty Ltd, Atlas Copco Australia Pty Limited.

# Introduction

- Purpose of Risk Based Design
  - Cater for the inherent variability in rock mass conditions
  - To address uncertainty
  - To apply engineering judgement
  - To enable decisions to be made based on the level of risk to the operation
  - Risk = probability x consequence
- Probabilistic vs Deterministic
  - Advantages of probabilistic analysis well known
  - Powerful methods of probabilistic analysis developed
- Not widely applied in underground mining geotechnical applications
  - Additional effort
  - Acceptable probabilities of failure?

# Example Mining Layout

- Access Ramp
- Sub-level drive
- Primary stope drive
- Secondary stope drive



# Hazards

## Tunnel supported with bolts and mesh

Rockfall (joint bounded)



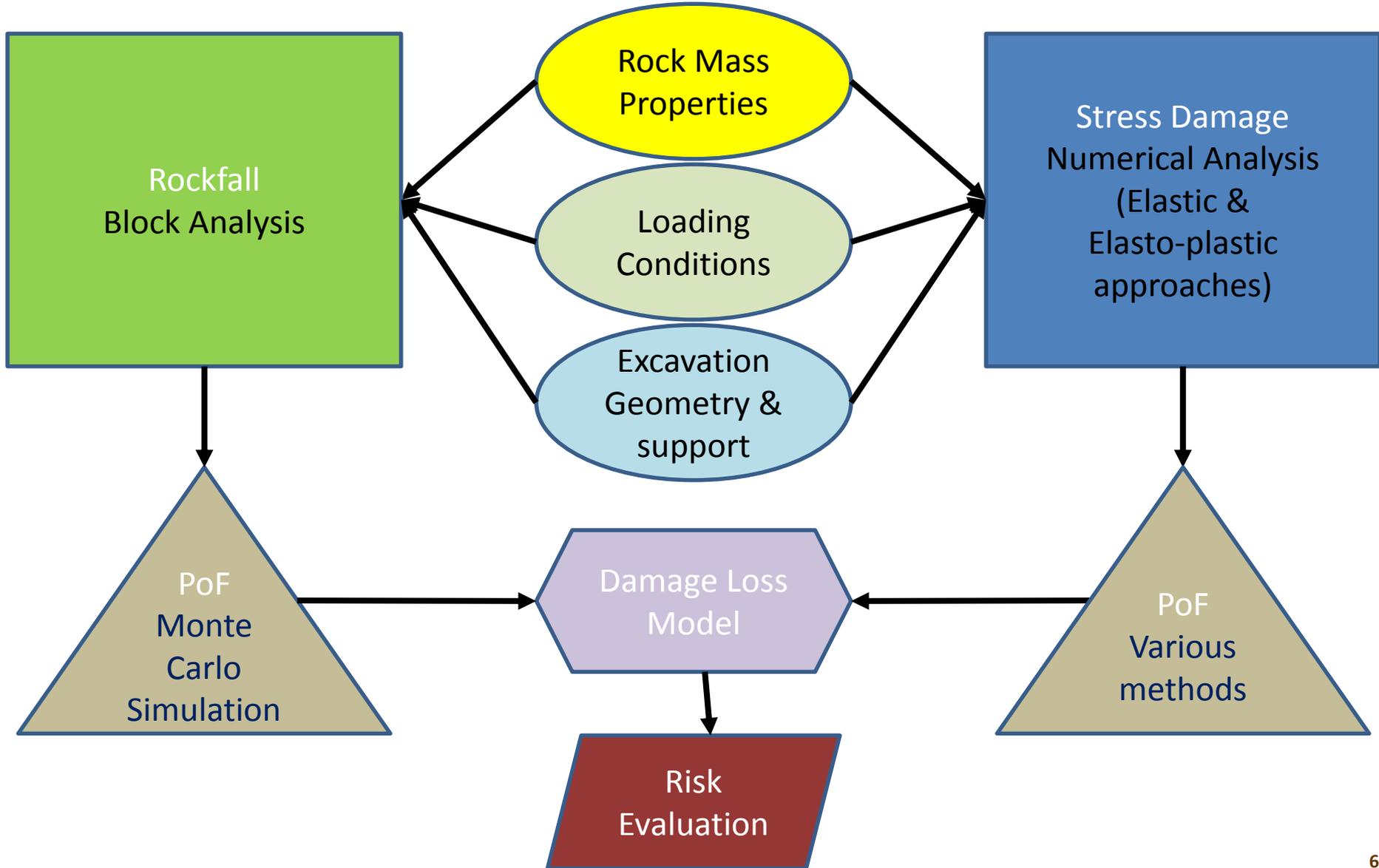
Stress Damage (depth of failure)



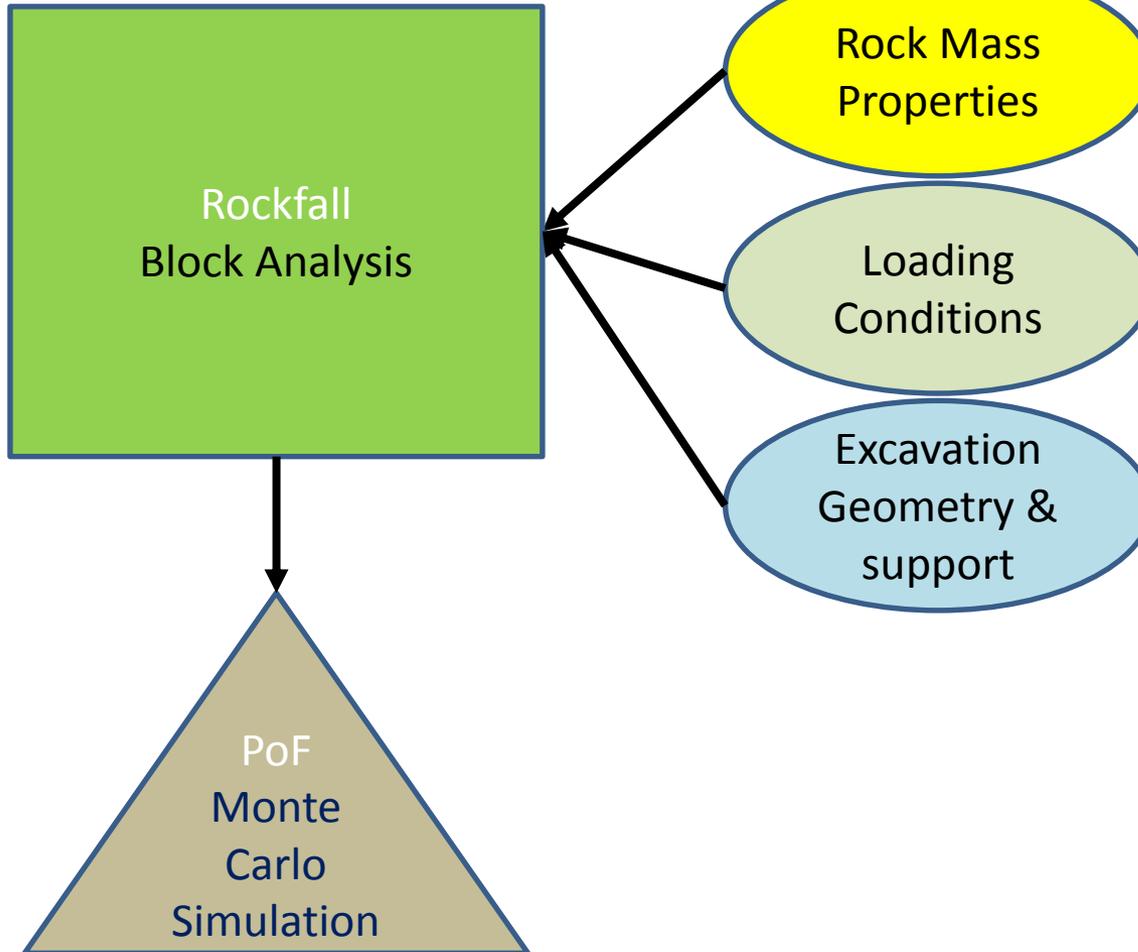
## Consequences

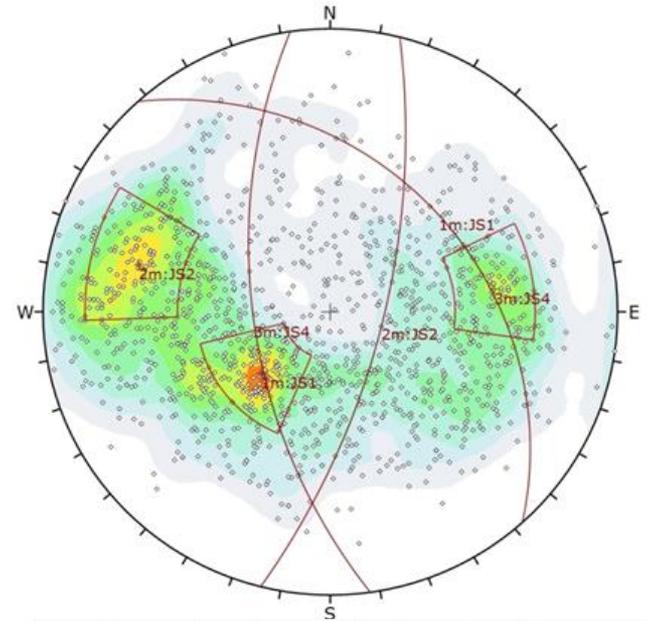
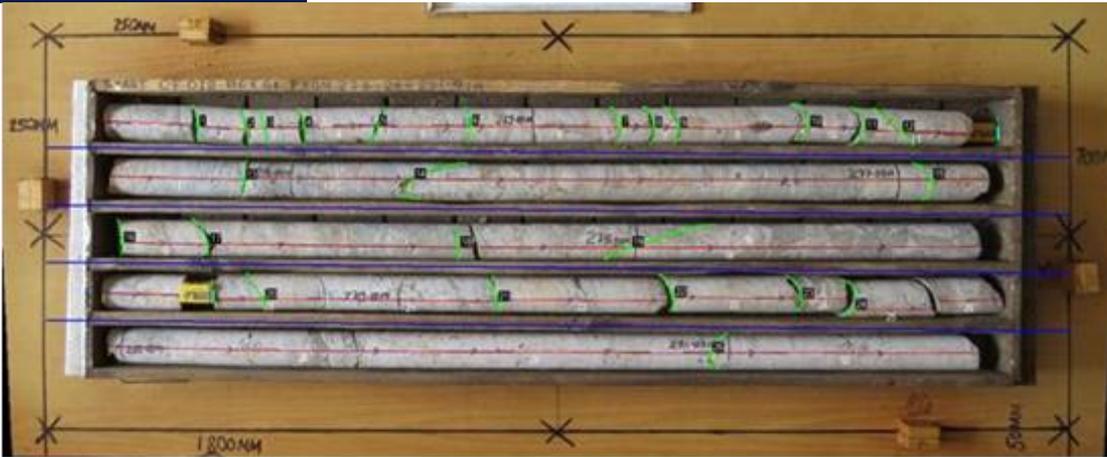
- Production delays – loss of income
- Rehabilitation costs
- Injuries
- Cost of damage to mobile equipment

# Risk Analysis Process



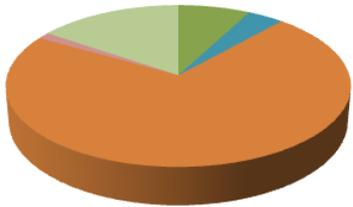
# Rockfall





### Joint Roughness

- 1 POLISHED
- 2 SMOOTH PLANAR
- 3 ROUGH PLANAR
- 4 SLICKENSIDED UNDULATING
- 5 SMOOTH UNDULATING
- 6 ROUGH UNDULATING
- 7 SLICKENSIDED STEPPED



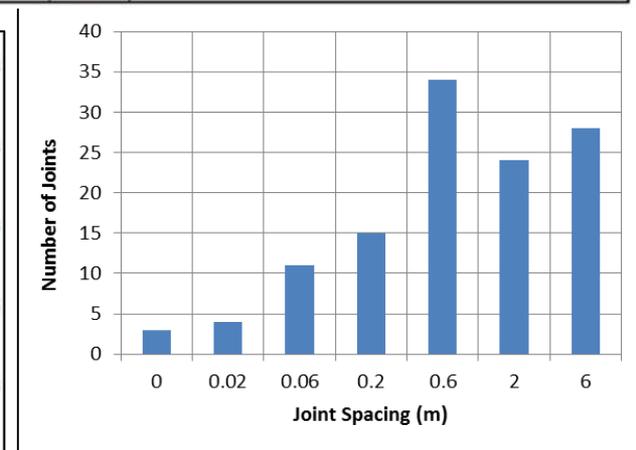
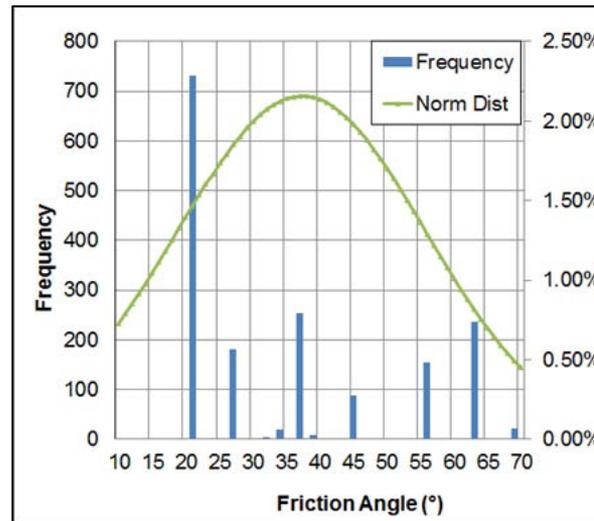
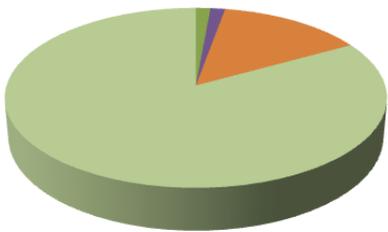
*Barton*

$$\phi = \arctan\left(\frac{J_r}{J_a}\right)$$

	Color	Dip	Dip Direction	Label
<b>Mean Set Planes</b>				
1m	■	36	48	JS1
2m	■	69	104	JS2
3m	■	60	262	JS4

### Joint Fill

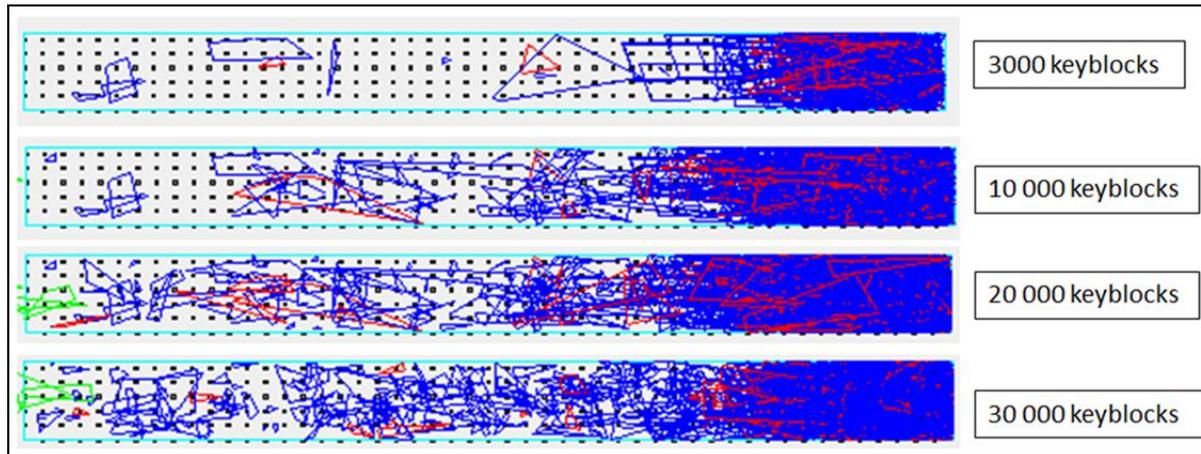
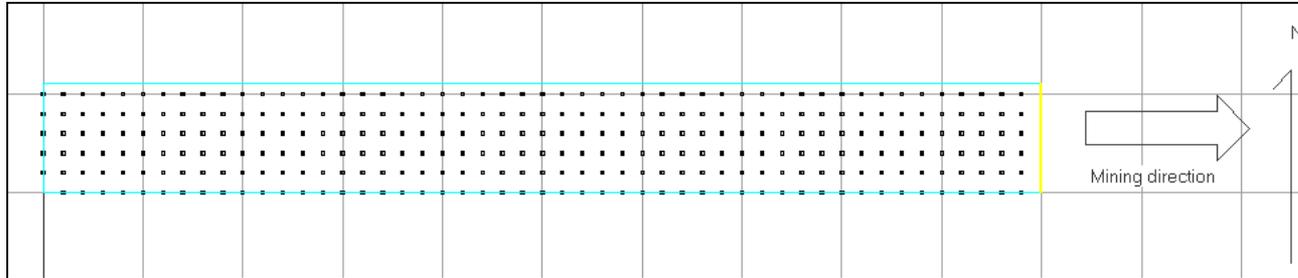
- 1 GOUGE THICKNESS > AMP
- 2 GOUGE THICKNESS < AMP
- 3 SOFT SHEARED FINE
- 4 SOFT SHEARED MEDIUM
- 5 SOFT SHEARED COARSE
- 6 NON-SOFTENING FINE
- 7 NON-SOFTENING MEDIUM
- 8 NON-SOFTENING COARSE
- 9 STAINING



# Block Analysis & Monte Carlo Simulation

# Rockfall

JBLOCK GS Esterhuizen



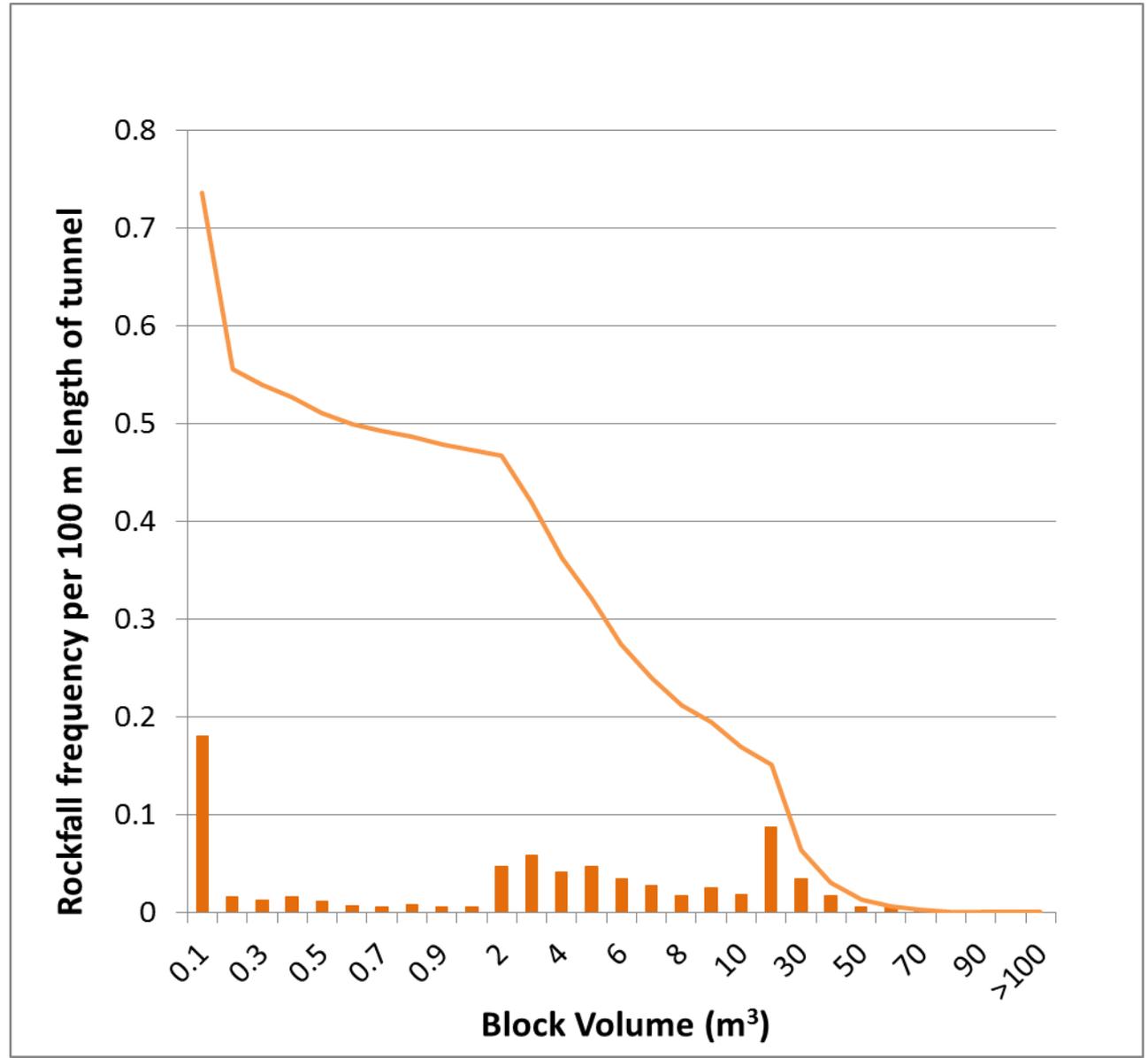
Simple DFN process to generate blocks using joint data. >100 000 Blocks

Limit equilibrium analysis – Monte-Carlo > 100 000 blocks  
 Gravity fall, sliding, rotation – effect of support  
 Keeps track of the surface area exposed for normalisation

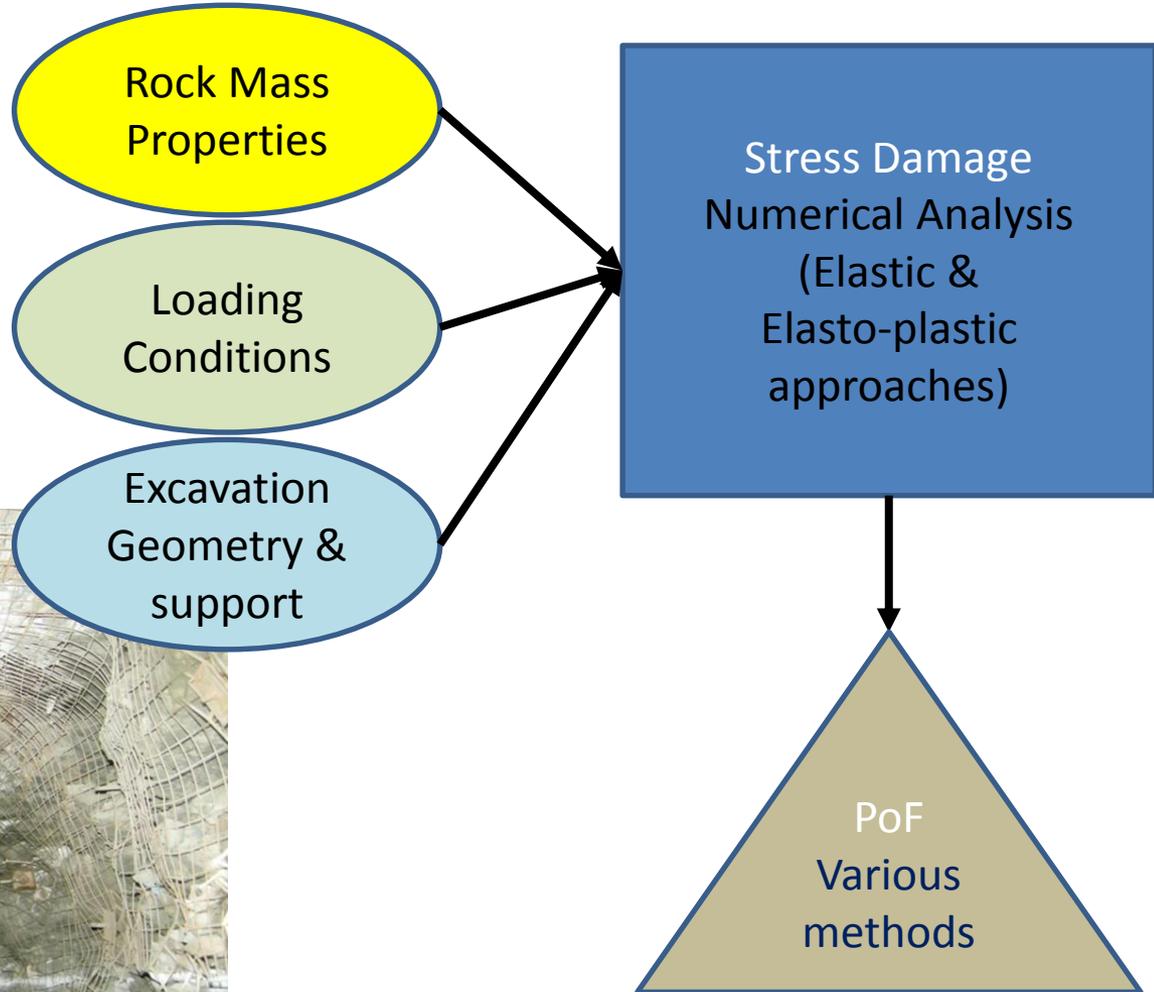
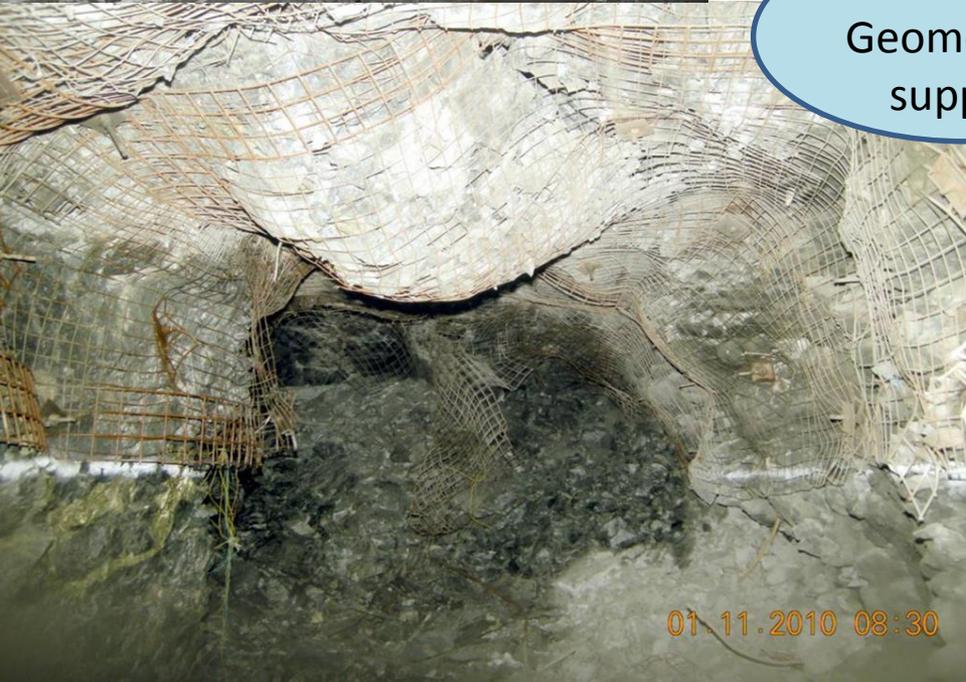
Unwedge image

Results  
Rockfall  
Frequency

# Rockfall



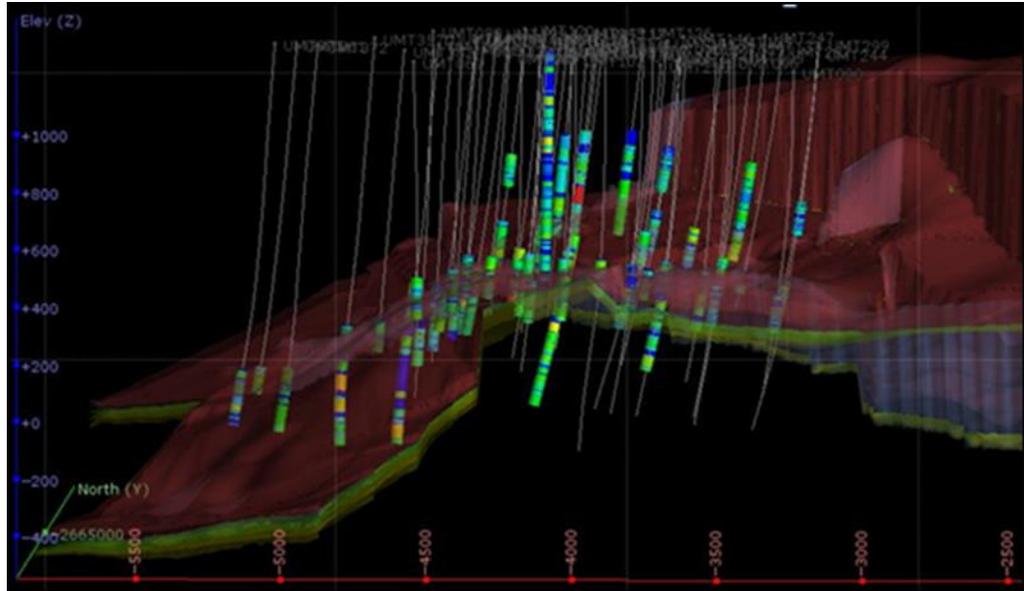
# Stress Damage



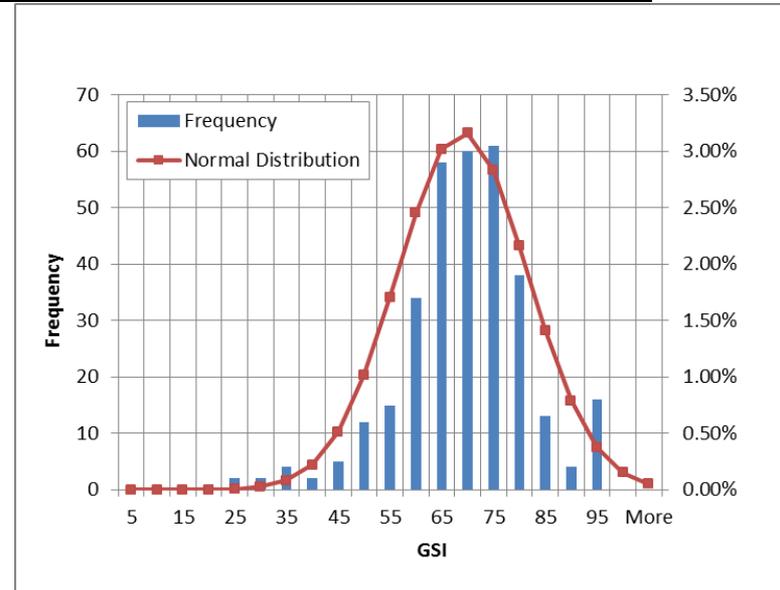
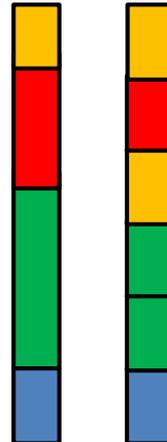
01.11.2010 08:30

# Data - GSI

# Stress Damage



Composite  
10m intervals



# Data – Rock Strength

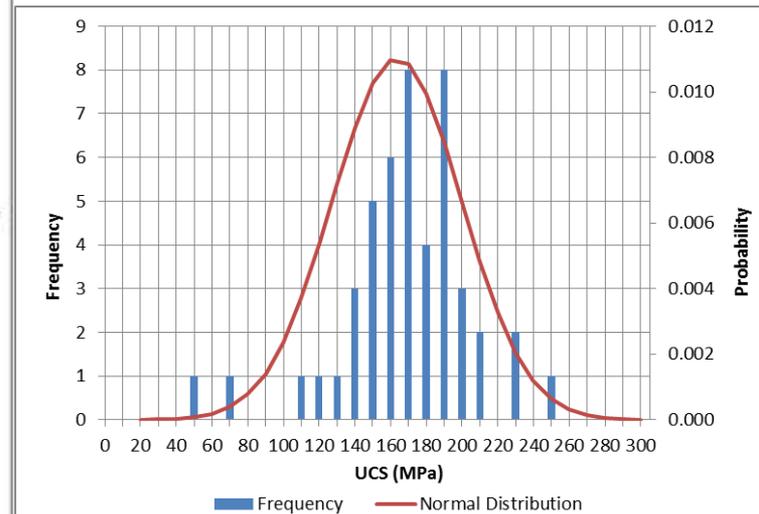
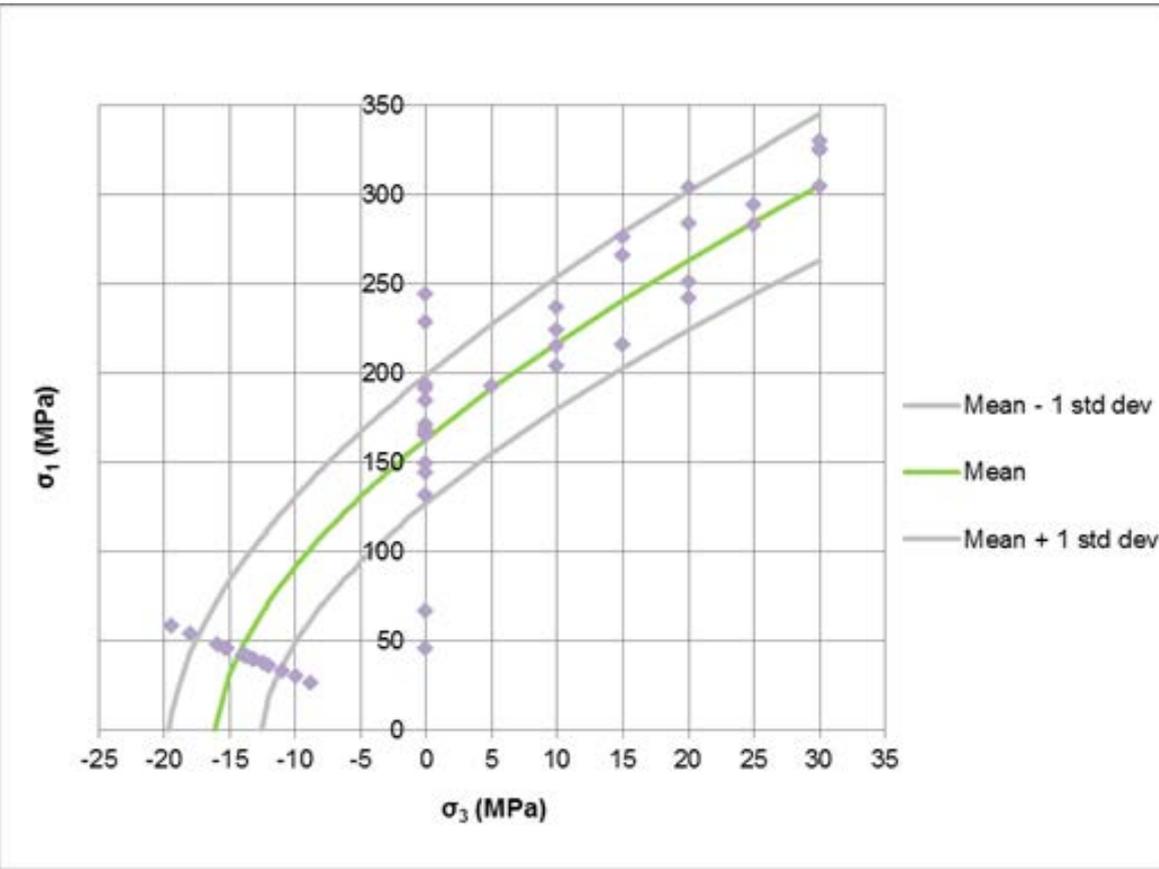
# Stress Damage

Hoek-Brown failure criterion

Laboratory tests



Fixed  $m_i$   
Variable UCS

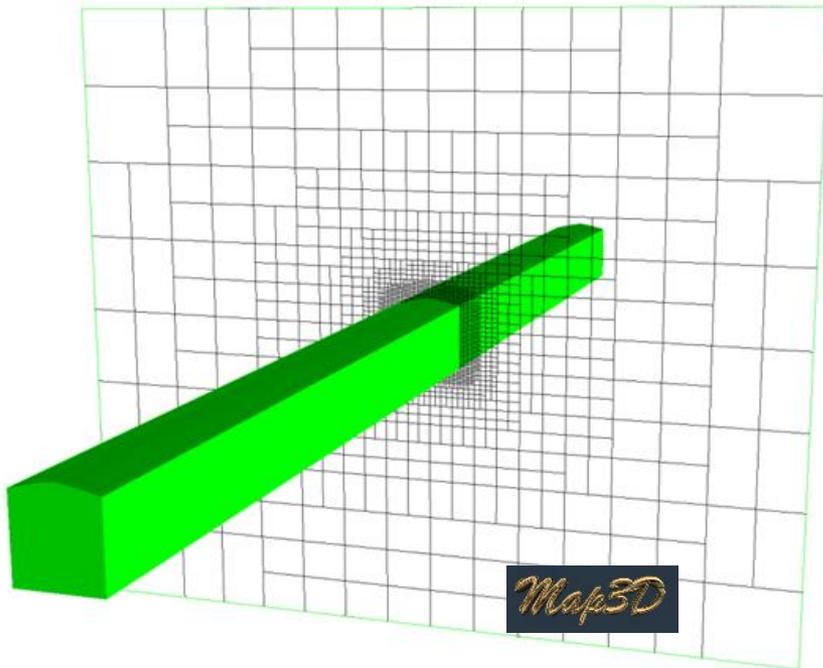


# Numerical Analysis

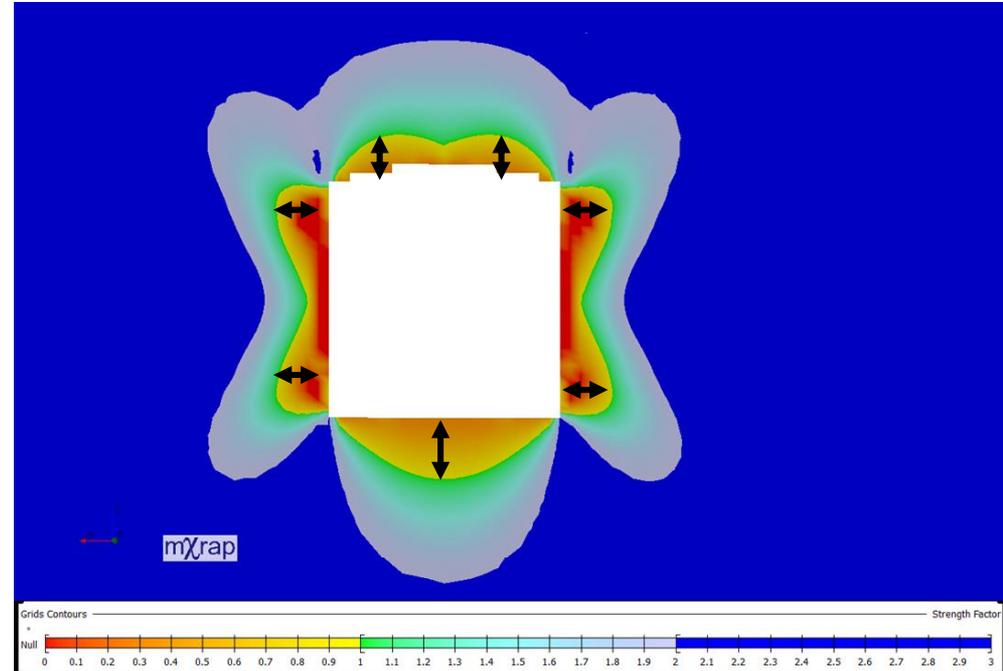
# Stress Damage

## Elastic (Johan Wesseloo)

- Unit stress elastic boundary element analyses (Map3D)
- Stress super-position (mXrap)
- Strength Factor (mXrap)
- Monte-Carlo (mXrap)



## Depth of failure



# Numerical Analysis

# Stress Damage

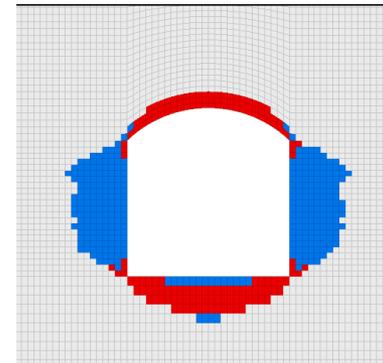
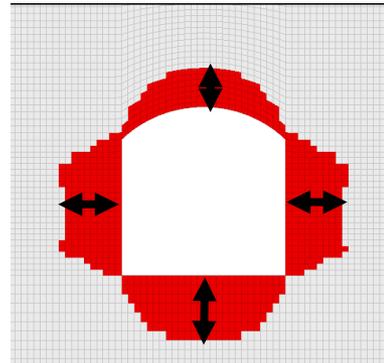
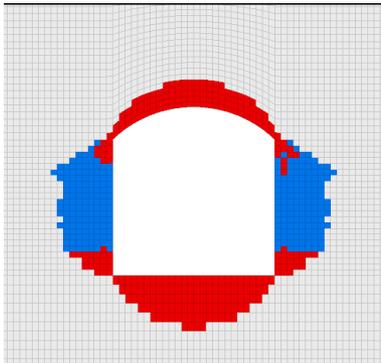
Elasto-plastic

Base case

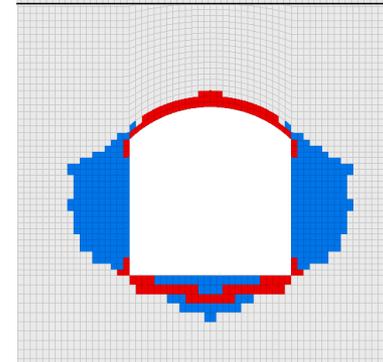
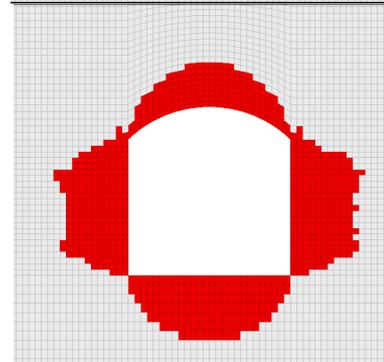
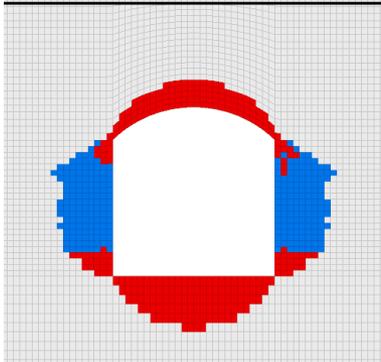
“-”

“+”

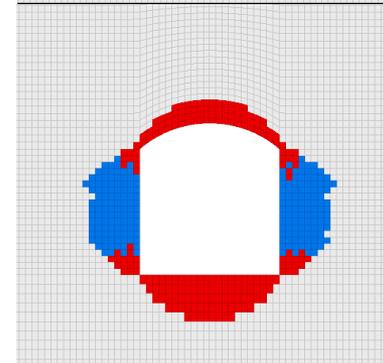
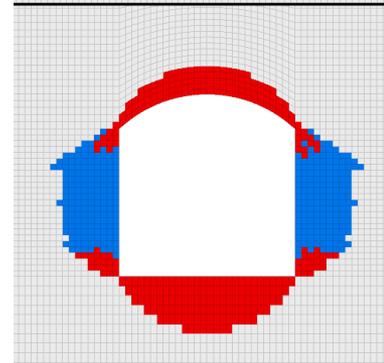
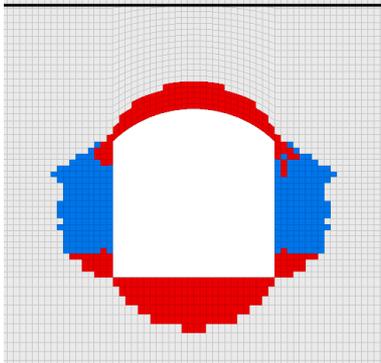
UCS



GSI



Over break



## Depth of failure

Monte-Carlo Simulation  
not practical  
Other probabilistic  
methods required

- Point Estimate method (PEM)
- Response Surface Method (RSM)
- Response Influence Factor (RIF)

## Itasca

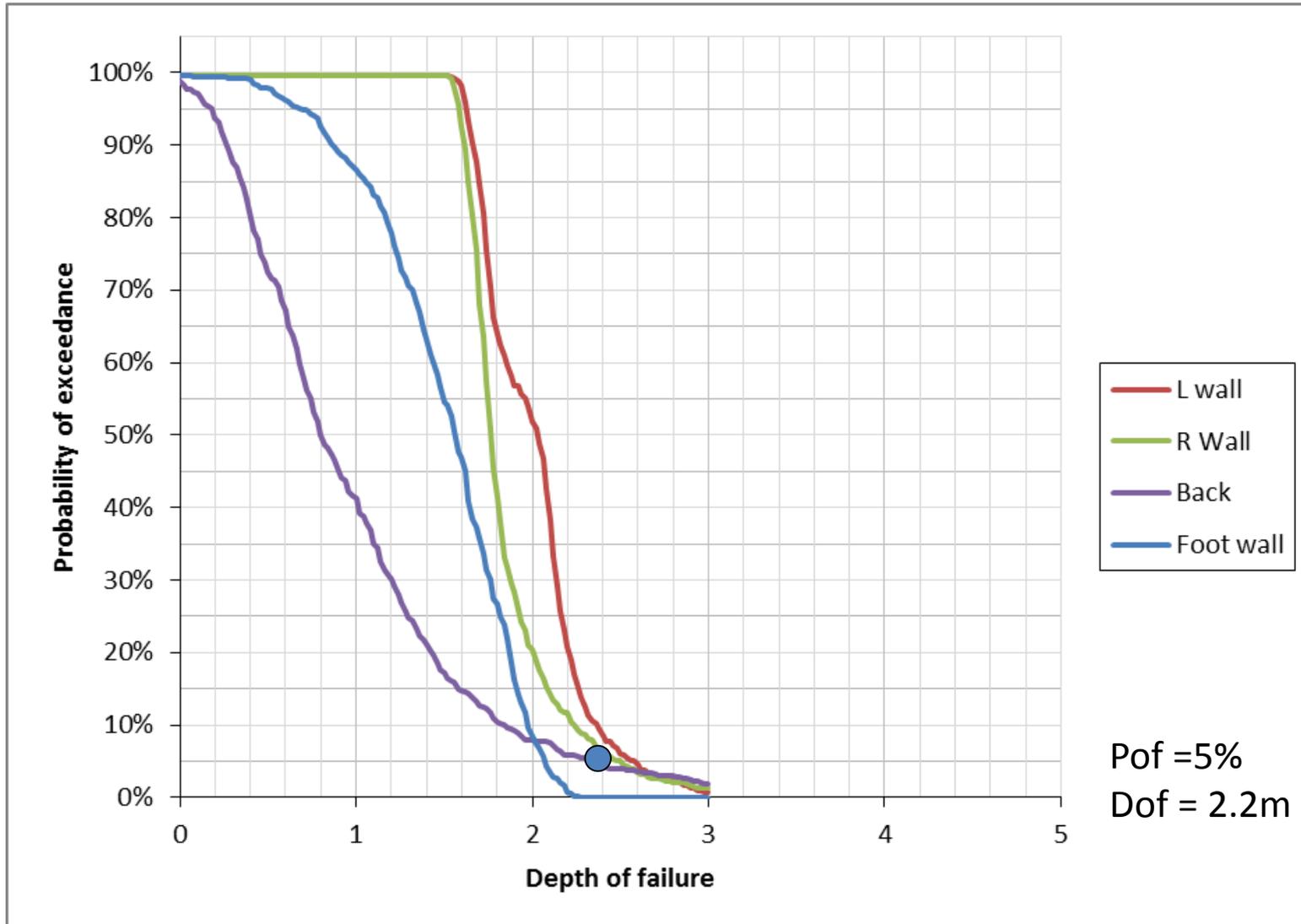
FLAC/UDEC (Fish/Python)  
or

## RocScience

Phase2 / RS2 (built in  
functions only - PEM)

PoF

# Stress Damage

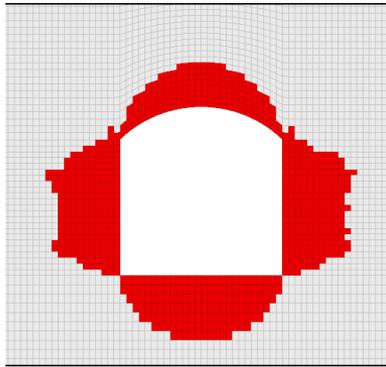


Pof = 5%  
Dof = 2.2m

# Stress Damage

Pof  
Interpretation

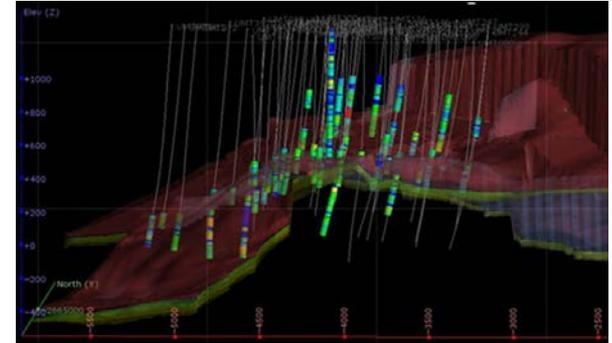
PoF = 5%



10m intervals



Rock mass characteristics



0 Segments damaged

Length of interest

1 Segment damaged

10m

6 Segments damaged

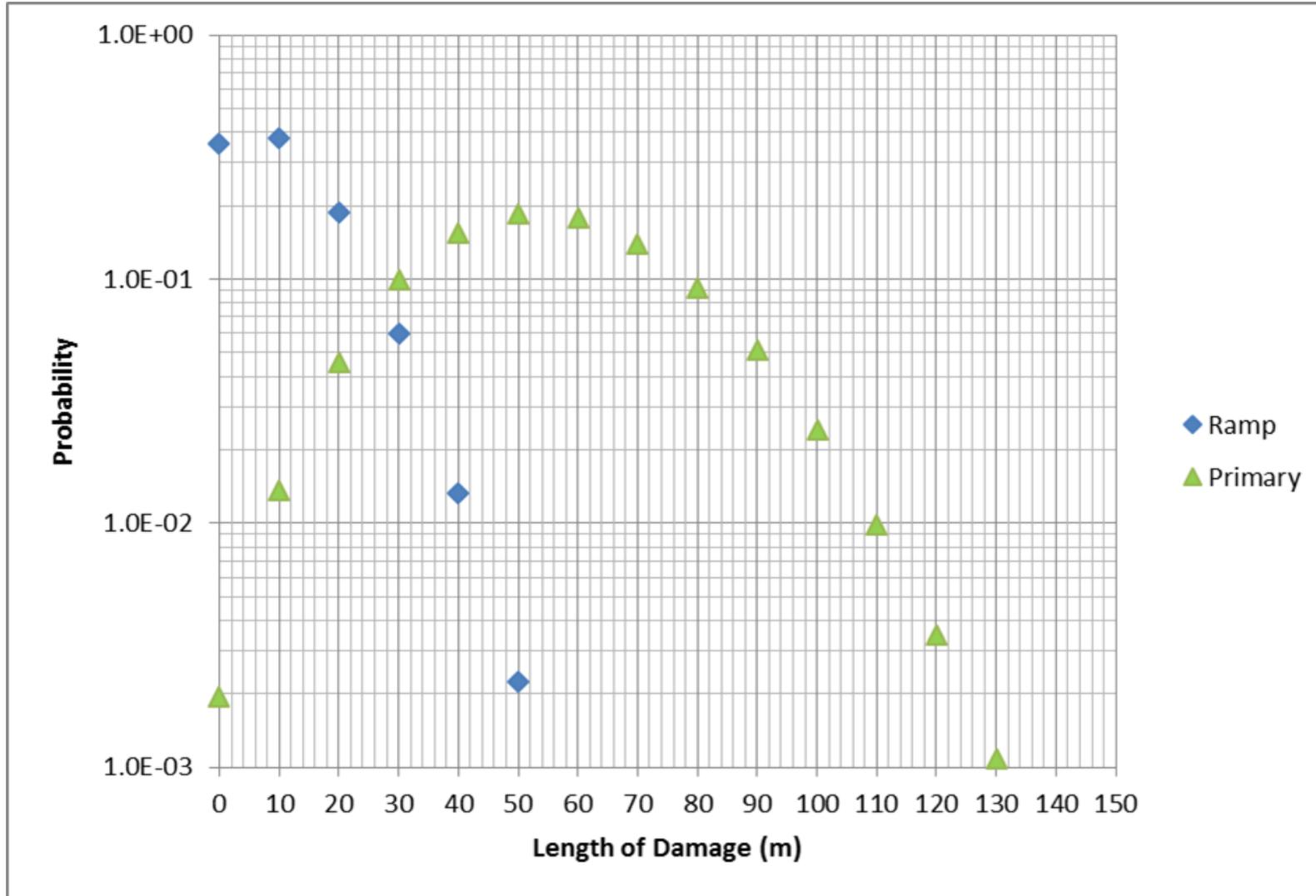
17 Segments damaged (not necessarily contiguous)

Use Binomial distribution to determine the probability of various lengths of tunnel damage

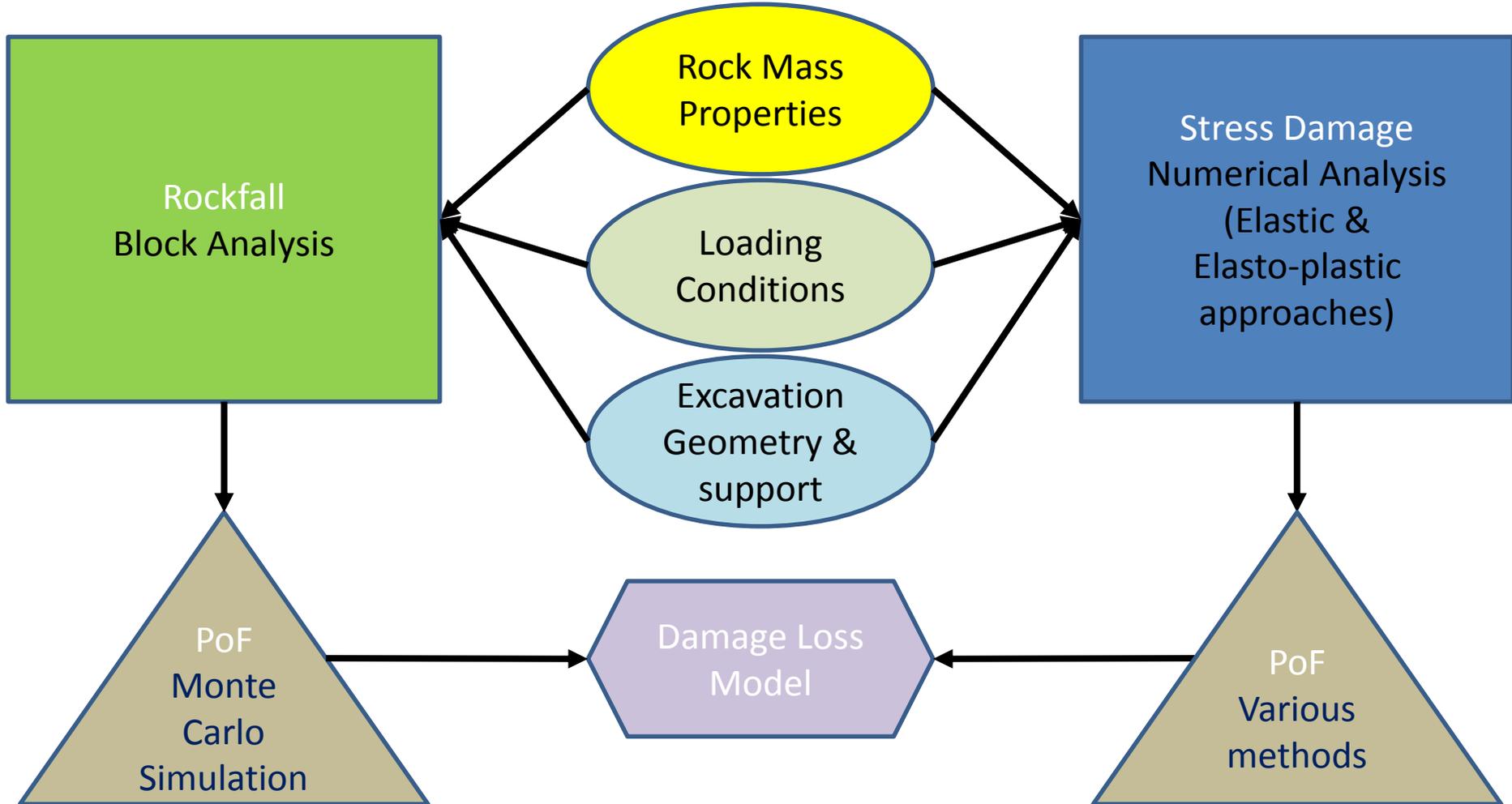
$$Pr = \frac{n!}{k!(n-k)!} p^k (1-p)^{n-k}$$

# Probability Distribution

# Stress Damage



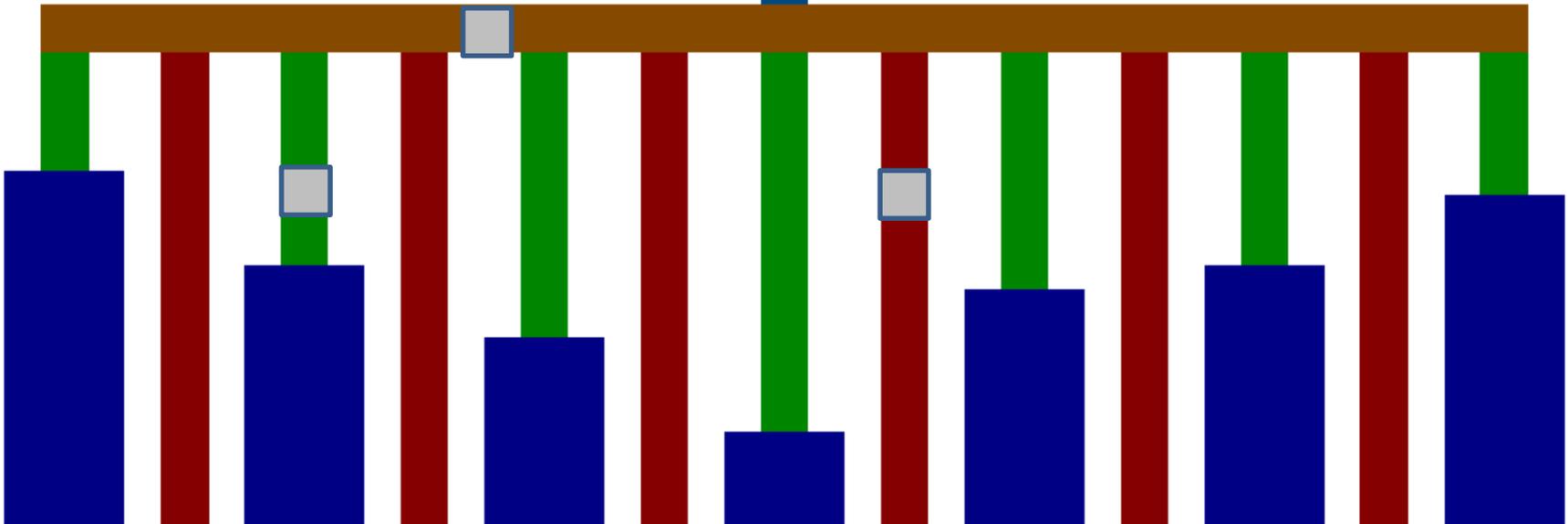
# Damage Loss Model



# Damage location

Access ramp = Immediate impact 100% of production affected  
 Sub-level drive = Immediate impact 30% of production affected  
 Primary stope drive = Possibly delayed impact 1/7 of production affected  
 Secondary stope drive = Delayed impact 1/6 of production affected

Example is Ramp



# Damage Loss Model

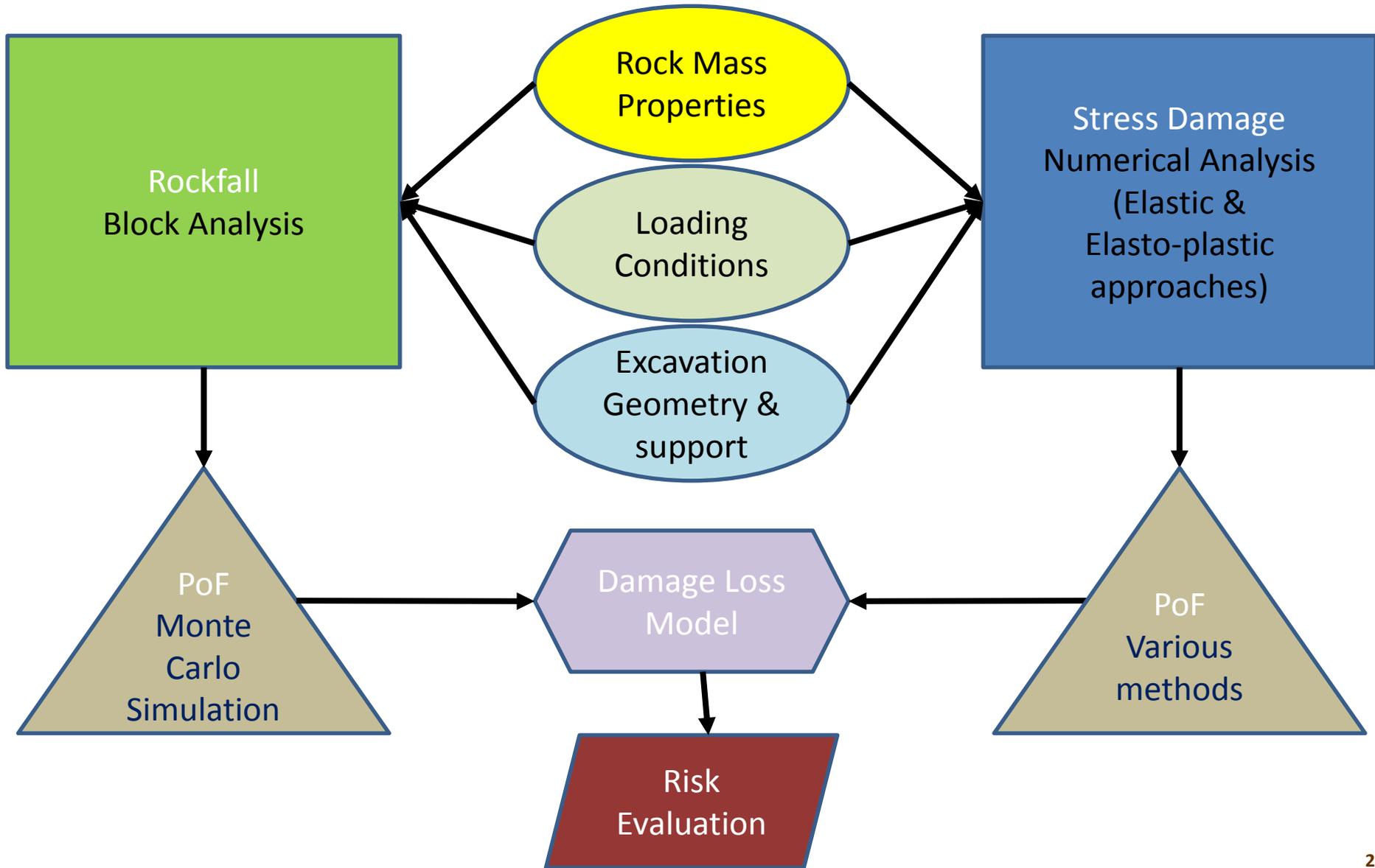


1. Cost of repair ( $\$/m \times \text{length affected}$ )
2. Production loss (duration of rehabilitation where access is prevented = rate of rehabilitation  $\times$  length of damage)  $\times$  daily tonnage  $\times$   $\$/\text{ton}$

<b>Stope Production</b>	
Stope Height	30 m
Stope Width	10 m
Ring spacing	2 m
Ring volume	600 m <sup>3</sup>
Rings	1 Rings/day
Density	2.7 tonnes/m <sup>3</sup>
<b>Daily production</b>	<b>1620 tonnes</b>
<b>Financial</b>	
Grade	6 g/t
Conversion	31 g/ounce
Gold Price	1278 $\$/\text{ounce}$
Revenue	247 $\$/\text{tonne}$
Direct Cost	40%
<b>Loss</b>	<b>148 <math>\\$/\text{tonne}</math></b>
Daily Loss	0.240 $\$/\text{M}$
30 Day loss	7.2 $\$/\text{M}$
365 Day loss	87.6 $\$/\text{M}$

<b>Evaluation of damage costs</b>	
Tunnel length considered (m)	200
Segment length (m)	10
Segments	20
Probability of segment failure (%)	5.0%
Impact on Daily production (%)	100%
Time until impact (days)	0
Rehabilitation Rate (m/day)	1
Rehabilitation cost ( $\$/m$ )	1000

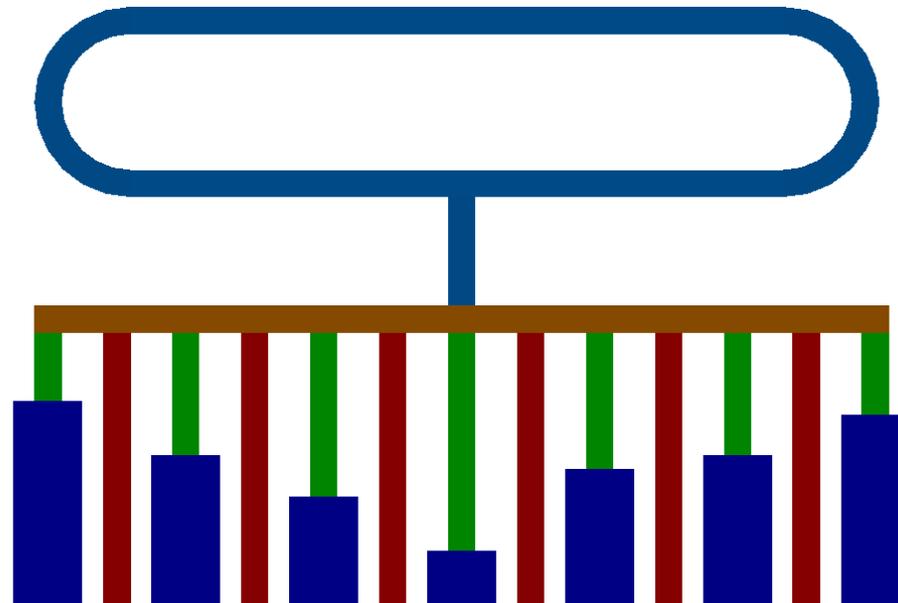
# Risk Evaluation



# Risk Evaluation

## Expected losses (\$M)

Tunnel	Rockfalls	Stress Damage	Total
● Access ramp	\$1.92M	\$2.41M	\$4.33M
● Primary stope drive	\$0.02M	\$1.78M	\$1.80M



# Risk Evaluation

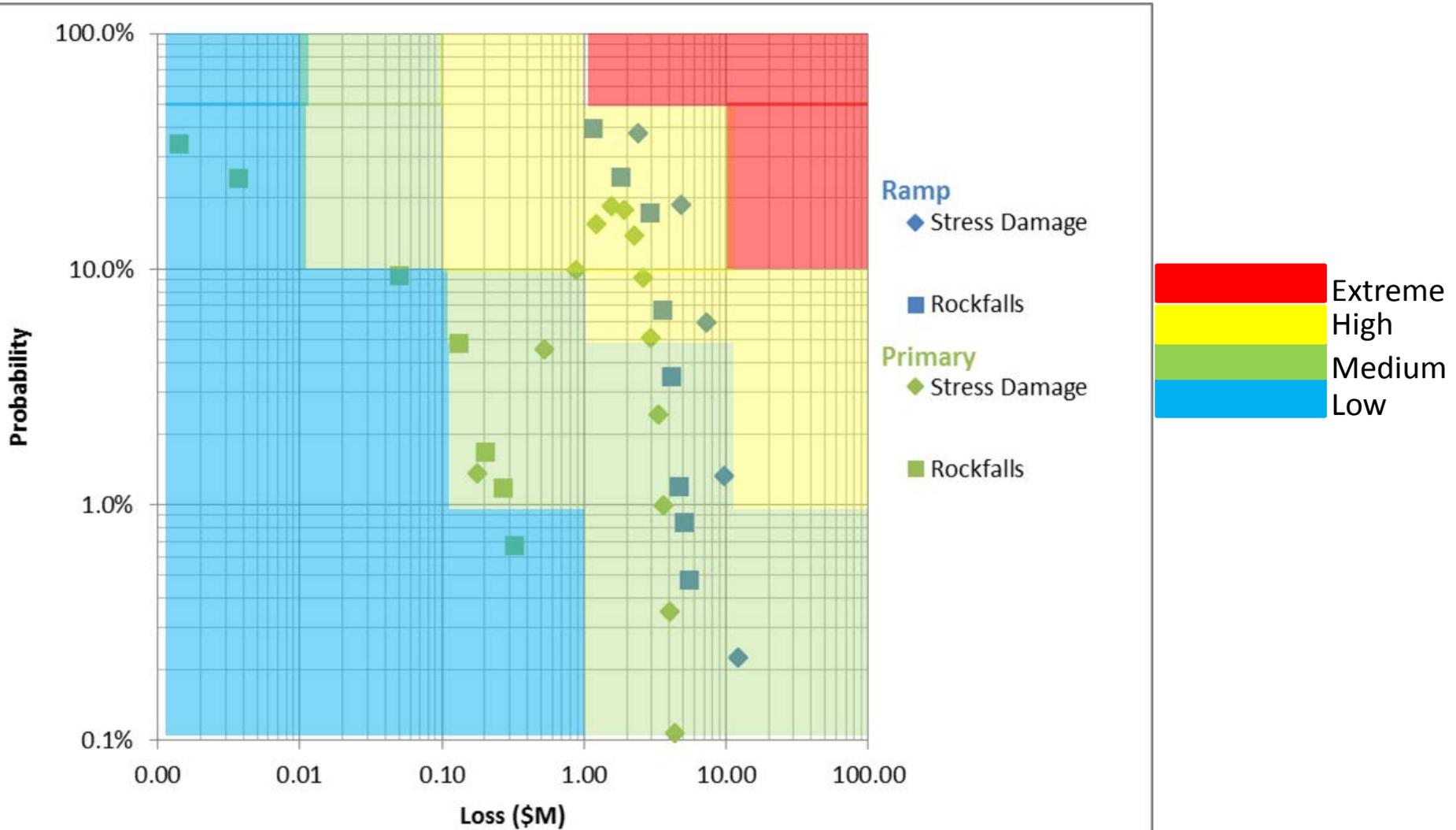
## Risk Matrix

Probability of Occurrence	Damage Loss				
	Insignificant <\$0.01M	Minor \$0.01M-\$0.10M	Moderate \$0.10M-\$1.0M	Major \$1M-\$10M	Catastrophic >\$10M
Certain	Low	Medium	High	Extreme	Extreme
Likely	Low	Medium	High	High	Extreme
Possible	Low	Low	Medium	High	High
Unlikely	Low	Low	Medium	Medium	High
Rare	Low	Low	Low	Medium	Medium

Probability Description	Criteria	Probability
Certain	The event will occur. The event occurs daily	>50%
Likely	The event is likely to occur. The event occurs monthly	10% to 50%
Possible	The event will occur under some circumstances. The event occurs annually	5% to 10%
Unlikely	The event has happened elsewhere. The event occurs every 10 years	1% to 5%
Rare	The event may occur in exceptional circumstances. The event has rarely occurred in the industry.	< 1%

# Risk Evaluation

## Risk Matrix



# Factors to Consider

- Types of Uncertainty
  - Aleatoric variability
    - The natural randomness in a system (Data required)
  - Epistemic uncertainty
    - The scientific uncertainty due to limited data and knowledge Sources of Uncertainty (Engineering Judgement)
- Factors to consider
  - Incomplete rock mass data (estimates of confidence)
  - Scale variability
  - Uncertain stress field
  - Influence of major geological structures
  - Time dependant deterioration
  - Model bias (simplification and assumptions)
  - Human error during implementation

**Occam's Razor** - increasing complexity does not necessarily increase understanding of the risk

# Conclusions

- A preliminary risk based approach to ground support design has been developed
  - Rockfall and stress damage analyses
  - Probabilistic solution techniques
  - Damage Loss Model
  - Risk Evaluation
  - Process could be adapted to other analytical methods

# Conclusions

- Probability Interpretation (Vick S.G., 2002)
  - Relative frequency approach:
    - The probability of an uncertain event is its relative frequency of occurrence in repeated trials or experimental sampling of the outcome.
  - Subjective, degree of belief approach:
    - The probability of an uncertain event is the quantified measure of one's belief or confidence in the outcome, according to their state of knowledge at the time it is assessed.